ATTORNEY DOCKET NUMBER FOR PTO-1390 (REV 07-2005) 5000-5306 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION INTERNATIONAL FILING DATE PCT/JP2004/010631 July 26, 2004 October 2, 2003 TITLE OF INVENTION ELECTRIC FIELD LIGHT EMITTING ELEMENT APPLICANT(S) FOR DO/EO/US Yoshifumi KATO; Norihito TAKEUCHI; Hiroyasu KAWAUCHI; Haruyuki ISHIKAWA; and Hiroyuki MIURA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3.  $\boxtimes$ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected. (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2))  $\boxtimes$ 5 a. \( \square\) is attached hereto (required only if not communicated by the International Bureau). b. A has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International application as filed (35 U.S.C. 371(c)(2)). a. A is attached hereto b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) 10. An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13.  $\boxtimes$ A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14 15. A substitute specification. A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825. 17. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. 

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Copy of PCT Publication; Seven sheets of drawings Figs. 1-13, International Search Report and return receipt postcard.

Other items or Information:

19. 20.

<u> </u>							
	U.S. APPLICATION NO. (if known; see 37 C.F.R. 1.5)  INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NO.			
10/-57-4371 CT/JP2004/010631				5000-5306			
					CALCULATIONS	PTO USE ONLY	
The following fees have been submitted					\$300	'	
21. ☒ Basic national fee							
If the write	ten opinion prepared by						
report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33 (1)-(4)							
All other situations					\$200		
23. Search fee  If the written opinion of the ISA/US or the International preliminary examination report							
prepared b	y IPEA/US indicates al						
	le 33(1) – (4) : (37 CFR 1.445(a)(2)) h						
USPTO as	an International Search						
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400							
All other situations \$500					\$400		
	TOTAL OF 21				\$900		
		wings filed in paper over I in an electronic mediun					
	eets of paper or fraction	thereof.					
Total Sheets	Extra Sheets	Number of each addition thereof (round up to a w		RATE		1	
65 - 100 =		0		x \$250.00	\$0		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the					7-		
earliest claimed pri	ority date (37 CFR 1	.492(h)).			\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	3	\$	·	
Total claims	16 - 20 =	0	x \$50.0	00	\$0		
Independent claims	1 - 3=	0	x \$200.	00	\$0		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00		00	\$0				
			BOVE CALCUL	ATIONS =	\$900		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by							
<u>%</u> .					\$		
SUBTOTAL =  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest					\$900		
claimed priority date		5		+	\$		
			TOTAL NATION	AL FEE =	\$900		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by					s		
an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  TOTAL FEES ENCLOSED =					\$900		
			JIAL FEES EN	LOSED -	Amount to be		
					refunded:	\$	
					Amount to be	\$900	
a. □ A check ir	the amount of \$	to cover the above fees i	s enclosed	0	charged:	ψ 200	
c. E The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to							
Deposit Account No. 13-4500 (5000-5306). A duplicate copy of this sheet is enclosed.							
d. ☐ Fees are to	be charged to a credit of	card. WARNING: Information an	rmation on this form	may become p	public. Credit card info	ormation should not be	
included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and							
granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:					Mey		
CUSTOMER NUMBER 27123  Enter Address Here Signature							
Steven F. Meyer, Esq.					0 /	•	
Morgan & Finnegan LLP  3 World Financial Center  Name				<u>Meyer</u>			
New York, New York			Name				
Dated: March 31, 2006							
Dated. March 31, 200	••	BER					

Form PTO-1390 (REV 7-2005)

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MEDICATION SI NAR 2006-5306

IN THE UNITED STATES	<ul><li>□ RECEIVING OFFICE</li><li>□ DESIGNATED OFFICE</li><li>□ ELECTED OFFICE</li></ul>	ICÈ (DO/ÚS)				
INTERNATIONAL APPLICATION NO. PCT/JP2004/010631	INTERNATIONAL FILING DATE July 26, 2004	PRIORITY DATE CLAIMED October 2, 2003				
TITLE OF INVENTION ELECTRIC FIELD LIGHT EMITTING ELEMENT						
APPLICANT(S) Yoshifumi KATO; Norihito TAKEUCHI; Hiroyasu KAWAUCHI; Haruyuki ISHIKAWA; and Hiroyuki MIURA						
Mail Stop PCT						
Commissioner for Patents						
P.O. Box 1450						
Alexandria, VA 22313-1450						

I declare that on the date indicated below I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EV 826871236 US, addressed to the Commissioner for Patents, Box PCT (DO/EO/US), P.O. Box 1450, Alexandria, VA 22313-1450 and having an express mail certification which I executed, the following papers:

- Transmittal Letter to the US Designated/Elected Office (DO/EO/US) Concerning a Submission under 35 USC 371;
- 2. Specification: 54 pps; Claims: 3 pps; Abstract 1 pp;
- 3. Formal Drawings: 7 sheets (Fig 1-13);
- 4. Preliminary Amendment;

**Express Mail Certification** 

- 5. International Search Report;
- 6. Copy of published application;
- 7. Return Receipt Postcard.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Joan E Federici

(Typedor printed name of person making this verified statement)

March 31, 2006

Date

(Signature of person making this verified statement)